



Health and Human Services

627 NE Evans Street McMinnville, Oregon 97128 Office: (503) 474-6884 Fax: (503) 474-3850 TTY: 1-800-735-2900

Behavioral Health Authorization Request Form - Mental Health

Pre-Authorization Authorization Extension: (Funds and/or Time) CIM Reference #				
Member Information				
Name: Last:	First:			
OHP ID #:		DOB:		
Service Provider Information				
Clinician Name(s) /Credentials:		Billing Contact:		
Name of Agency:		Billing Contact Phone:		
Business Address:		E-Mail Address:		
Phone:		Fax:		
Services Requested (select a La	evel of Care and Ser	vice Subcategory)		
Level of Care (see attachment)	Serv	rice Subcategory	Guidelines	
Assessment (H0031, T1013, 90791, 90792, H2000) ADULT OPTIONS: ADOLESCENT OPTIONS: Attach a copy of the most current signed assessment and service Psychological Testing (96101) Outpatient Outpatient Services assessment and service LOC A Subacute plan. For episodes of LOC B Therapeutic Foster Care care that last longer LOC C* Psych Day Tx A&E Only than one year, annual LOC I (Inpatient only) Intensive Comm Tx Services service plan must have *Approved on a case-by-case-basis Mentors Mentors				
Please identify how the additional services requested will benefit the client:				
Date(s) of service: Initial Start Date: End Date: Extension End Date:				
Services Requested by: Clinician or agency rep. Signatur Printed name:		Dat		

Please send requests via <u>SECURE</u> email to: <u>bhauthorizations@co.yamhill.or.us</u> Phone: 503-474-6884 Fax: 503-474-3850

NOTICE TO FAX RECIPIENT: This fax contains time-sensitive, confidential information. Please deliver it immediately to the provider named above. If you have received this fax in error, please notify the sender at 503-474-6884 to arrange for return or destruction of this document.

Admission Criteria	Service	Episode of Care
Level of Care: A	Generally office based, these outpatient mental health services are	1. Assessment: Maximum of 2 contacts per episode of care
	designed to quickly promote, or restore, previous level of high	2. Crisis Intervention: As needed, no maximum
	function/stability, or maintain social/emotional functioning and are	3. Sessions per episode of care:
	intended to be focused and time limited with services discontinued	Up to total of 8 Sessions (1) in any combination of the
	as an individual is able to function more effectively.	following; assessment not included (2):
		a. Individual Therapy
	1. Assessment/Diagnosis	b. Family Therapy
	2. Crisis Interventions	4. Group Sessions up to a total of 12 Per Evidence Based
	3. Individual Therapy	Practice per episode of care (3)
	4. Case Management	5. Following are outside the count of sessions
	5. Family Therapy	a. DBT Phone coaching-(Step down service)
	6. Medication/Somatic Services	b. Skills Training
	7. Dialectical Behavior Therapy(DBT) Phone Coaching	c. Case Management
	8. Skills Training	d. Youth Community Support Services are outside
	9. Youth Community Support Services	the count of sessions (Step down/transition
	a. Family Stabilization Services	service)
	b. Skills Training	i. Family Stabilization Services
	c. In-home Skills Builders	ii. Skills Training
	10. Peer Services	iii. In-home Skills Builders
		e. Peer Services
	Examples Include:	
	• "Maintenance Phase" of treatment to maintain baseline (has	Evaluation available/offered at first contact within 10 business
	achieved maximum benefit	days of admission.
	Primarily psychiatric services for on-going medication	
	management	Continues to meet admission criteria AND is capable of
	• Individuals who are relatively high functioning and well-	additional symptom or functional improvement at this level of
	regulated overall	care.
	• Treatment will be limited and target a specific behavior,	
D: : :	interaction, or symptom	
Division	Indicators of Level:	Transition Criteria
Adults	Covered diagnosis on the prioritized list AND	At least ONE of the following must be met:
	DLA-20: 5.1 or higher And at least one Activity area with a	Documented treatment goals and objectives have been
	score of \leq 5 (For YCHHS and Panel Providers) OR	substantially met, Individual is goal directed
	GAF: 61 or higher (For Community Partners use only)	• No longer meets criteria for this level of care or meets criteria
	Possible descriptors:	for a higher level of care,
	 No recent history of hospitalizations No imminant denger to solf or others 	• Not making progress toward treatment and there is no
	No imminent danger to self or others	reasonable expectation of progress at this level of care,

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	Good structure and supports in his/her life	•	It is reasonably predictable that continuing stabilization can
	• Slight impairment to some mild functional impairment with		occur with discharge from treatment and transition to PCP for
	minimal interruptions in recovery		with medication management and/or appropriate community
	Potential for compliance good to strong		supports.
	• The person presents as stable other than presenting issue(s)	•	Means of obtaining meds when discharged
	No crisis management typically needed	•	Community integration
Child/Adolescent	Covered diagnosis on the prioritized list AND	•	Medical care addressed
	DLA-20: 5.1 or higher <u>And</u> at least one Activity area with a	•	Employed, in school, or otherwise consistently engaged
	score of ≤ 5? (For YCHHS and Panel Providers) OR		(volunteer, etc.)
	CGAS: 61 or higher (For Community Partners and Children	•	Individual has a good understanding of illness
	under 6 only)	•	Family or significant other understands the illness
	1. The need for maintenance of a medication regimen (at least	•	Natural supports available and utilized
	quarterly) that cannot be safely transitioned to a Primary Care		
	Physician (PCP), OR		
	2. A mild or episodic parent-child or family system interactional		
	problem that is triggered by a recent transition or outside event		
	and is potentially resolvable in a short period of time OR		
	3. Transitioning from a higher level of service (step down) in		
	order to maintain treatment gains and has been stable at his		
	level of functioning for 3-4 visits AND		
	• Low acuity of presenting symptoms and minimal functional		
	impairment AND		
	Home, school, community impact is minimal		

Admission Criteria	Service	E	pisode of Care
Level of Care: B	Generally office based, these outpatient mental health services are	1.	Assessment: Maximum of 2 contacts per episode of care
	designed to promote, restore, or maintain social/emotional	2.	Crisis Intervention: As needed, no maximum
	functioning and are intended to be focused and time limited with	3.	Sessions per episode of care:
	services discontinued as an individual is able to function more		Up to total of 10 Sessions (1) in any combination of the
	effectively.		following; assessment not included (2):
			a. Individual Therapy
	1. Assessment/ Diagnosis		b. Family Therapy
	2. Crisis Interventions	4.	Following are outside the count of sessions
	3. Individual Therapy		a. DBT Phone coaching
	4. Group Therapy		b. Skills Training
	5. Family Therapy		c. Case Management
	6. Case Management		d. Youth Community Support Services are outside the
	7. Medication/Somatic Services		count of sessions

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	8. Skills Training	i. Family Stabilization Services
	9. In-home skill builders	ii. Skills Training
	10. Youth Community Support Services	iii. In-home Skills Builders
	a. Family Stabilization Services	e. Peer Services
	b. Skills Training	5. Group sessions per Evidence Based Practice episode of care
	c. In-home Skills Builders	(3)
	11. Peer Services	6. Licensed Medical Practitioner (LMP) Services:
		7. Psychiatric Evaluation completed at first contact within 10
	Examples include:	business days of admission.
	1. Low frequency sessions, but individual/family requires	8. Minimum of 1 contact a month with Medical Staff,
	consistency and regular practice over time in order to develop	until stable on meds
	new skill, habits and routines to compensate for lagging skills	
	2. Individual may have more barrier to natural/informal	Continues to meet admission criteria AND at least one of the
	supports and requires case management	following:
	3. Individual/family utilizes services well and benefits from	1. Capable of additional symptom or functional improvement at
	treatment, but struggles to internalize or generalize skill	this level of care
	development	2. Significant cultural and language barriers impacting ability to
	Child/Adolescent:	fully integrate symptom management skills and there is no
	4. Parent-child interactional problem may be causing some on-	more clinically appropriate service
	going impairment, therefore parent training may be a primary	nore enneany appropriate service
	focus of treatment	
	5. Home based services may be appropriate when there are	
	cultural or developmental considerations	
Division	Indicators of Level:	Transition Criteria
Adults	Covered diagnosis on the prioritized list AND	At least ONE of the following must be met:
	DLA-20: 4.1 – 5.0 (For YCHHS and Panel Providers) OR	• Documented treatment goals and objectives have been
	GAF: 51 – 60 (For Community Partners use only)	substantially met, Individual is goal directed
	Program-specific Criteria	• No longer meets criteria for this level of care or meets criteria
	• Individual willing & able to commit to program structure &	for a higher level of care,
	expectations	• Not making progress toward treatment and there is no
	• Individual does not require acute stabilization of MH	reasonable expectation of progress at this level of care,
	symptoms	• It is reasonably predictable that continuing stabilization can
	Possible descriptors:	occur with discharge from treatment and transition to PCP for
	No recent history of hospitalizations	with medication management and/or appropriate community
	No imminent danger to self or others	supports.
	Good structure and supports in his/her life	Stabilization as indicated by three or more of the following:
	 Moderate impairment in functioning 	Means of obtaining meds when discharged
	Potential for compliance is good	Community integration
	 The customer presents as somewhat unstable because of 	Medical care addressed

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	situational loss or an occurrence	•	Employed, in school, or otherwise consistently engaged
	Acute stabilization may be needed		(volunteer, etc.)
Child/Adolescent	Covered diagnosis on the prioritized list AND	•	Individual/family/guardian has a good understanding of
	DLA-20: 4.1 – 5.0 (For YCHHS and Panel Providers) OR		illness
	CGAS: 51 – 60 (For Community Partners and Children under		
	6 only)		
	1. Moderate functional impairment in at least one area (for		
	example, sleep, eating, self-care, relationships, school		
	behavior or achievement) OR		
	2. Moderate impairment of parent/child relationship to meet the		
	developmental and safety needs OR		
	3. Transition from a higher level of service intensity (step-down)		
	to maintain treatment gains		
	Possible descriptors:		
	No recent history of hospitalizations		
	No imminent danger to self or others		
	Needing family stabilization		

Admission Criteria	Service	Ep	visode of Care
Level of Care: C	These services can be provided in any of the following: clinic,	1.	Assessment: Maximum of 2 contacts per episode of care
	home, school and community. These services are designed to	2.	Crisis Intervention: As needed, no maximum
	prevent the need for a higher level of care, or to sustain the gains	3.	Sessions per episode of care:
	made in a higher level of care, and which cannot be accomplished		Up to total of 12 Sessions (1) in any combination of the
	in either routine outpatient care or other community support		following; assessment not included (2):
	services.		a. Individual Therapy
			b. Family Therapy
	1. Assessment/ Diagnosis	4.	Following are outside the count of sessions
	2. Crisis Interventions		a. DBT Phone coaching
	3. Individual Therapy		b. Skills Training
	4. Group Therapy		c. Case Management
	5. Family Therapy		d. Youth Community Support Services are outside the
	6. Case Management		count of sessions
	7. Medication/Somatic Services		i. Family Stabilization Services
	8. Comprehensive Community Support Services (CSS): Early		ii. Skills Training
	Assessment and Support Alliance (EASA), Supported		iii. In-home Skills Builders
	Employment (SE), Supported Housing (SH)		e. Peer Services

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	9. Psychosocial Rehabilitation Services (PSR)	5. Youth Community Support Services
	10. Skill Training	a. Family Stabilization Services
	11. Peer Services	b. Skills Training
	12. Youth Community Support Services	c. In-home Skills Builders
	 Family Stabilization Services 	6. Group sessions per Evidence Based Practice episode of care
	 Skills Training 	(3)
	 In-home Skills Builders 	7. LMP Services;
	13. Intensive Outpatient Treatment Program, e.g., Youth Wrap	8. Psychiatric Evaluation completed at first contact within 10
	Services, Dialectical Behavior Therapy (DBT), Eating	business days of admission.
	Disorders, Seeking Safety, Dual Diagnosis, Chronic Pain	9. Minimum of 1 contact a month with Medical Staff, until
	Management, Collaborative Assessment and Management of	stable on meds
	Suicidality (CAMS), Court Coordinated Services	10. Medication Monitoring, Administration and Tracking
		Services (MMATS)
		11. CSS Up to a maximum of 4 hr/wk
	Examples include:	12. PSR
	14. Individual needs higher frequency of sessions and a	a. Individual Classes
	combination of multiple service types	b. Maximum of 3 months of service per episode of care
	15. In vivo coaching and mild to moderate phone crisis support	
	required to interrupt dysfunctional patterns of interaction and	
	integrate new skills	Continues to meet admission criteria AND at least one
	16. Individual is at risk of harm to self or others	of the following:
	17. Individual is suffering from high suicidal urges	1. Capable of additional symptom or functional improvement at
	18. Individual is engaging in self-harm, and/or eating disordered	this level of care
	behaviors	2. Significant cultural and language barriers impacting ability to
	Adults:	fully integrate symptom management skills and there is no
	19. Individual is engaged in court coordinated services	more clinically appropriate service.
	Child/Adolescent:	
	20. Unstable placement due to caregiver stress	
	21. Complex symptoms for which targeted caregiver/parent	
	education is required to improve child function	
Division	Indicators of Level:	Transition Criteria
Adults	Covered diagnosis on the prioritized list AND	At least ONE of the following must be met:
	DLA-20: 3.1 – 4.0 (For YCHHS and Panel Providers) OR	Documented treatment goals and objectives have been
	GAF: 41 – 50 (For Community Partners use only)	substantially met, Individual is goal directed
	Program-specific Criteria	• No longer meets criteria for this level of care or meets criteria
	• Individual willing & able to commit to program structure &	for a higher level of care,
	expectations	Not making progress toward treatment and there is no
	Individual does not require acute stabilization of MH	reasonable expectation of progress at this level of care,

 symptoms Possible descriptors: Priori history of hospitalizations - past 2 years No imminent danger to self or others Limited structure and supports in his/her life Serious Functional impairment Potential for compliance fair to good However, the person is tenuous and feels unstable because of situational loss or an occurrence No acute stabilization needed Criteria for Early Childhood and School-Age and Adolescents Covered diagnosis on the prioritized list AND DLA-20: 3.1-40 (For YCHHS and Panel Providers) OR CGAS: 41 - 30 (For Community Panel Providers) OR CGAS: 41 - 40 (For YCHHS and Panel Providers) OR CGAS: 41 - 40 (For YCHHS and Panel Providers) OR CGAS: 41 - 40 (For YCHHS and Panel Providers) OR CGAS: 41 - 40 (For YCHHS and Panel Providers) OR CGAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 40 (For YCHHS and Panel Providers) OR CCAS: 41 - 50 (For Community Paneters and Constitution the second and easter area, CChild and Adolescent Service Intensity Instrument (CASI) Level: 4 or higher AND For School-Age and Adolescents at least one area, Risk of school or daycare placement loss due to mental illness or development needs. Risk of school or daycare placement loss due to mental illness or development needs. Nubripie system involvement requiring coordination and case management Nederate to severe behavioral issues that cause chronic family
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	•	Transition from a higher level of service intensity (step-down) to	
		maintain treatment gains	
	•	Child and/or family's level of English language skill and/or	
		acculturation is not sufficient to achieve symptom or functional	
		improvement without case mgmt.	

Admission Criteria	Service	Episode of Care
Level of Care: D	 Assessment/Diagnosis Crisis Interventions Individual Therapy Group Therapy Family Therapy Case Management Medication/Somatic Services Comprehensive Community Support Services (CSS) – EASA, SE, SH, Assertive Community Treatment (ACT) Psychosocial Rehabilitation Services (PSR) Skill Training Peer Services Youth Community Support Services Family Stabilization Services In-home Skills Builders Respite Services Intensive Community-based Treatment and Support Services (ICTS) – see below for additional info Home Based Stabilization – see below for additional info Intensive Outpatient Treatment Program, e.g., Youth Wrap Services, DBT, Eating Disorders, Seeking Safety, CAMS – Collaborative Assessment and Management of Suicidality, Court Coordinated Services 	 Assessment: Maximum of 2 contacts per episode of care Crisis Intervention: As needed, no maximum Episode of Care per the following or Evidence Based Practice fidelity (3): Adults: Maximum of 6 months of service per episode of care Child/Adolescent: Maximum of 3 months of service per episode of care Group sessions per Evidence Based Practice fidelity LMP Services: Psychiatric Evaluation completed at first contact within 10 business days of admission. Minimum of 1 contact a month with Medical Staff, until stable on meds MMATS CSS Up to a maximum of 6 hrs/wk PSR Individual Groups/Classes Extended care can be requested based on individual's imminent risk to self or others due to mental illness and multiple inpatient psychiatric hospitalizations within last year. The frequency of contacts with an individual consumer at any one time will depend on the care and preferences of the individual consumer.
	 Crisis intervention is available 24/7 both by phone and in person. <i>Examples:</i> <i>Individual is discharging from residential stay or has had multiple acute/sub-acute placements in the last 6 months.</i> 	 All the following criteria must be met: There is evidence that the individual's mental health symptoms that led to the referral are responding to treatment and/or evidence of engagement and participation in treatment by the individual, child and/or family Treatment is clearly focused on the goals outlined in the Service Plan and discharge planning is active and ongoing

7/27/2016 Child/Adolescent: There is documentation that treatment goals cannot be ICTS Provider: individuals will be assigned a care coordinator achieved with a lower level of service intensity who will facilitate a child and family team. The team will identify Continued service needs require substantial care coordination • strengths, needs, and strategies to meet treatment needs. due to the involvement of multiple systems Home based stabilization services are provided, at an intensive • Extended crisis episodes level, in the home, school and community with the goal of Child/Adolescent only: stabilizing behaviors and symptoms that led to admission. There is evidence that services have focused on developing • natural supports and empowering the family and caregivers to develop skills and strategies to meet the individual's needs Discharge from Home Based Stabilization including discharge criteria and potential time frames, has been discussed in the child and family team. AND at least two of the following: Serious to severe continued risk of harm to self or others Cultural and language barriers impacting ability to fully integrate symptom management skills and there is no more clinically appropriate service Continued significant risk of out of home placement or currently homeless due to symptoms of mental illness Moderate current substance abuse for which case management/ coordination or integrated treatment is necessary Division **Transition Criteria Indicators of Level:** Following primary covered diagnosis on the prioritized list: Adults At least ONE of the following must be met: Schizophrenia; Major Depressive Disorders; Bipolar Documented treatment goals and objectives have been Disorders; Other Psychotic Disorders; Schizoaffective substantially met, Individual is goal directed Disorder; Post Traumatic Stress Disorder; Obsessive • No longer meets criteria for this level of care or meets criteria Compulsive Disorder; Schizotypal Disorder, or Borderline for a higher level of care, Personality Disorder AND • Not making progress toward treatment and there is no **DLA-20: 3.0 – or below** (For YCHHS and Panel Providers) reasonable expectation of progress at this level of care, • It is reasonably predictable that continuing stabilization can OR GAF: 40 or below(For Community Partners use only) occur with discharge from treatment and transition to PCP for **Possible descriptors:** with medication management and/or appropriate community Potential for harm to self or others if not managed well supports. Recent hospitalizations Means of obtaining meds when discharged • Co-occurring medical or substance abuse which could be life Community integration Medical care addressed threatening • Compliance is poor, inconsistent Employed, in school, or otherwise consistently engaged Very Severe functional impairment (volunteer, etc.) Individual has a good understanding of illness Frequent crisis management needed

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	• If not with ACT or intensive programming on a weekly basis,	•	Family or significant other understands the illness
	the individual is at risk	•	Admission for Psychiatric Inpatient Treatment for six months
	Intractable symptoms		with no imminent discharge date
	No supports or very limited	•	Incarceration with no imminent release date within 90 days
	Structure less without Community Mental Health Program		unless enrolled in the Jail Diversion program
	High use of psychiatric emergency services during the past 18		
	months	Ad	lults only:
Child/Adolescent	All the following criteria must be met:]•	Self-administers meds
	DLA -20: 3.0 or below (For YCHHS and Panel Providers)	•	Placed in a nursing home with no imminent discharge date
	OR		
	CGAS: 40 or below (For Community Partners and Children		
	under 6 only)		
	• Early Childhood Service Intensity Instrument (ECSII) or		
	CASII Level 4 and up		
	• Covered diagnosis on the prioritized list that is the focus on		
	the needed services		
	Determined appropriate for the Integrated Services Array		
	(ISA) through the level of intensity determination screening		
	• Current serious to severe functional impairment in multiple		
	areas		
	• Treatment intensity at a lower level of care insufficient to		
	maintain functioning		
	• Service needs require substantial care coordination due to the		
	involvement of multiple systems (i.e. Child Welfare, Special		
	Education, Juvenile Justice)		
	Significant risk of out-of-home placement or currently		
	homeless due to symptoms of mental illness		
	• Elevating or serious risk of harm to self or others		
	• Treatment is not directed primarily to resolve placement issues		
	OR behavior, conduct or substance abuse problems		

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(1) One (1) Session = 60 minutes of billed service

(2) IT sessions per episode of care include Service Plan Development, Case Management and Service Conclusion. Assessment is not included in the count of IT sessions per episode of care

(3) For clients who have completed the need for individual therapy, but remain open to an evidence based service such as DBT and SE: If not requiring IT, the clinician will meet with the individual at a minimum of every 3 months to review progress towards goals and update/revise SP as needed.

Adapted from:Health Share of Oregon Regional Mental Health – Child and Family Utilization Management Guidelines
Carlsbad Mental Health Center, NM – CMHC Benefit Package, Adult Services

7/07/0010